



PTO/SB/21 (09-04)

1642

## **TRANSMIT FORM**

*(to be used for all correspondence after initial filing)*

<b>TRANSMITTAL FORM</b>		Application Number	10/004,113
		Filing Date	October 23, 2001
		First Named Inventor	Eric K. Engelhard
		Art Unit	1642
(to be used for all correspondence after initial filing)		Examiner Name	Alana M. Harris
Total Number of Pages in This Submission		Attorney Docket Number	CHIR0026-100 (PP23878.001)

**ENCLOSURES (*check all that apply*)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address including Table A	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. Statement Under 37 CFR 3.73(b) with copy of executed Assignment from the Inventors to Sagres Discovery, Inc.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm	Cozen O'Connor		
Signature			
Printed Name	Gwilym J.O. Attwell		
Date	October 7, 2005	Reg. No.	45,449

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

**Signature**

Typed or printed name

Gwilym J.O. Attwell, Registration No. 45,449

Date

October 7, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
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Alexandria, VA 22313-1450

Sir:

**POWER OF ATTORNEY WITH REVOCATION AND  
CHANGE OF CORRESPONDENCE ADDRESS**

I hereby appoint:

Practitioners at Customer Number: 55255 as my/our attorney(s) or agent(s) to prosecute the applications identified in the attached Table A, and to transact all business in the United States Patent and Trademark Office connected with said applications.

Address all telephone calls and correspondence to:

**Lisa E. Alexander  
Sagres Discovery, Inc.  
c/o Chiron Corporation  
P.O. Box 8097  
Emeryville, CA 94662-8097  
Telephone: (510) 923-2585**

I am the:

Applicant/Inventor.  
 Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed.)

**Signature of Applicant or Assignee of Record**

Respectfully submitted,  
SAGRES DISCOVERY, INC.

Date: 24 August 2005

By: Lisa E. Alexander  
Name: Lisa E. Alexander  
Title: Assistant Secretary



**Table A**

Docket No.	Serial No. Filing Date	Inventor(s)	Examiner	Art Unit	Title
CHIR0021-100 PP23698.001	10/035,832 12/26/2001	David W. Morris et al.	n/a	1642	NOVEL COMPOSITIONS AND METHODS FOR BREAST CANCER
CHIR0022-100 PP23697.001	10/085,117 2/27/2002	David W. Morris et al.	Sean E. Aeder	1642	NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0023-100 PP23696.001	10/087,192 3/1/2002	David W. Morris et al.	Alana M. Harris	1642	NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0024-100 PP23794.001	09/997,722 11/30/2001	David W. Morris et al.	Sean E. Aeder	1642	NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0025-100 PP23694.001	10/105,612 03/20/2002	David W. Morris et al.	Alana M. Harris	1642	NOVEL COMPOSITIONS AND METHODS IN CANCER ASSOCIATED WITH ALTERED EXPRESSION OF MCM3AP
CHIR0026-100 PP23878.001	10/004,113 10/23/2001	Eric K. Engelhard et al.	Alana M. Harris	1642	NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0029-100 PP23793.001	10/034,650 12/20/2001	David W. Morris et al.	Sean E. Aeder	1642	NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0030-100 PP23685.001	10/105,871 3/20/2002	David W. Morris et al.	n/a	1642	NOVEL COMPOSITIONS AND METHODS IN CANCER ASSOCIATED WITH ALTERED EXPRESSION OF KCNJ9
CHIR0031-100 PP23695.001	10/105,637	David W. Morris et al.	Sean E. Aeder	1642	NOVEL COMPOSITIONS AND

	3/20/2002			METHODS IN CANCER ASSOCIATED WITH ALTERED EXPRESSION OF PRDM11
CHIR0035-100 PP23796.001	10/052,482 11/8/2001	David W. Morris et al.	Alana M. Harris	1642 NOVEL COMPOSITIONS AND METHODS FOR CANCER
CHIR0037-100 PP23693.001	10/105,613 3/20/2002	David W. Morris et al.	Sean E. Aeder	1642 NOVEL COMPOSITIONS AND METHODS IN CANCER ASSOCIATED WITH ALTERED EXPRESSION OF TBX21
CHIR0038-100 PP23686.001	10/105,948 3/20/2002	David W. Morris et al.	Alana M. Harris	1642 NOVEL COMPOSITIONS AND METHODS IN CANCER ASSOCIATED WITH ALTERED EXPRESSION OF PRLR
CHIR0040-100 PP23650.002	10/020,508 10/29/2001	David W. Morris et al.	n/a	METHODS AND COMPOSITIONS FOR INSERTION MUTATION SCANNING (IMS) OF GENOMES
CHIR0051-100 PP23371.002	10/394,948 3/21/2003	David W. Morris et al.	Quang Nguyen	1636 NOVEL COMPOSITIONS AND METHODS IN CANCER
CHIR0052-100 PP23370.001	10/322,281 12/17/2002	David W. Morris et al.	Alana M. Harris	1642 NOVEL COMPOSITIONS AND METHODS IN CANCER
CHIR0054-100 PP23369.001	10/331,053 12/26/2002	David W. Morris et al.	Teresa E. Strzelecka	1637 NOVEL COMPOSITIONS AND METHODS IN CANCER
CHIR0055-101 PP23350.002	11/064,736 02/23/2005	David W. Morris et al.	n/a	NOVEL COMPOSITIONS AND METHODS IN CANCER

CHIR0056-100 PP23367.001	10/330,773 12/27/2002	David W. Morris et al.	n/a	n/a	NOVEL COMPOSITIONS AND METHODS IN CANCER
CHIR0059-001 PP23360.001	60/506,304 9/25/2003	David W. Morris et al.	n/a	n/a	RHO ANTIBODY AND TAG TO PURIFY CELL SURFACE PROTEINS
CHIR0060-001 PP23359.001	60/507,682 9/30/2003	David W. Morris et al.	n/a	n/a	NOVEL SPLICE VARIANTS OF HUMAN
CHIR0062-100 PP23356.002	10/692,382 10/22/2003	David W. Morris et al.	n/a	n/a	NOVEL COMPOSITIONS AND METHODS IN CANCER
CHIR0063-100 PP23355.0001	10/674,575 9/29/2003	David W. Morris et al.	n/a	n/a	NOVEL COMPOSITIONS AND METHODS IN CANCER (AS AMENDED)
CHIR0065-100 PP23349.001	10/883,878 7/1/2004	David W. Morris et al.	n/a	n/a	NOVEL COMPOSITIONS AND METHODS IN CANCER



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant, **Sagres Discovery, Inc.**, states that it is:

the assignee of the entire right, title, and interest of the applications identified in Table A attached hereto.

The extent (by, percentage) of its ownership interest is 100% in the patent applications/patents identified in Table A.

a copy of the pertinent assignment from the inventor(s) of the patent applications/patents identified in Table A is enclosed herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Respectfully submitted,  
**SAGRES DISCOVERY, INC.**

Date: 24 August 2005

By: Lisa Alexander  
Name: Lisa E. Alexander  
Title: Assistant Secretary

**ASSIGNMENT**  
(NOT ACCOMPANYING APPLICATION)

WHEREAS, the undersigned,

(1) Eric K. Engelhard, (2) David W. Morris

(hereinafter termed "Inventors"), residents of

(1) Davis, (2) Davis

respectively, Counties of

(1) Sacramento, (2) Sacramento

respectively, States of

(1) California, (2) California

respectively, have invented certain new and useful improvements in

**NOVEL COMPOSITIONS AND METHODS FOR CANCER**

and have executed an application for a United States patent disclosing and identifying the invention on even date herewith; and having Serial No. 10/004,113 and filing date of October 23, 2001; and

WHEREAS, Sagres Discovery a corporation of the State of California, having a place of business at 2795 Second Street, Suite 400, Davis, State of California, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as follows:

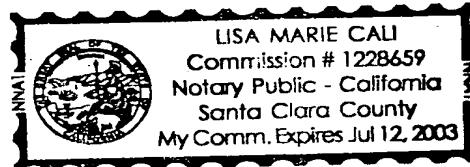
Date: February 13, 2002 (1) EKG  
Eric K. Engelhard

County of Yolo,  
State of California)  
) ss.  
)

On this 13<sup>th</sup> day of February, in the year 2002, before me, Lisa Marie Cali, Notary Public of the State of California, personally appeared (1) Eric K. Engelhard, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Lisa Marie Cali



(Seal)

Date: 2/13/02

(2) DWM  
David W. Morris

County of  
)  
State of  
) ss.  
)

On this 13<sup>th</sup> day of February, in the year 2002, before me, Lisa Marie Cali, Notary Public of the State of California, personally appeared (2) David W. Morris, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature David W. Morris



(Seal)

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